U.S. Immigration Records Their History, Content, and How to Find Them

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Introduction

The pursuit of an American genealogy will almost certainly lead to ancestry beyond the geographic borders of the United States of America. This country is a land of immigrants and it is young in historical terms. Even those of us who can claim Native American heritage have other ancestry as well. The pursuit of our roots will at some point involve the emigration of our ancestors; the where and why of their decision to leave a country of their birth and move to a new, distant and unfamiliar land.

In 1820 the federal government began keeping customs passenger lists at the various ports of entry. Between 1820 and 1990, nearly 57 million immigrants came to this country. The number of new arrivals through the 1990s has been around 1 million annually. It has been estimated that another 400,000 arrived between the establishment of the first colony in 1607 and 1820. Thus the total number of immigrants seeking opportunity in the United States from colonial days to date is somewhere in the vicinity of 68 million persons. Based on available statistics from the U.S. Bureau of Census' "Historical Statistics of the United States, Colonial Times to 1970" and recent updates produced by the Bureau of Census and the Immigration and Naturalization Service, the number of immigrants who came from Germany or Eastern Europe between 1820 and 1990 represent slightly more than 35% of the total immigration occurring during that period.

The colonial arrivals were predominately English thus already holding citizenship in the English colonies. Immigration from the United Kingdom represented 15-20% of the annual immigration between 1820 and 1890. Following the American Revolution, the largest group of immigrants came from Ireland. The Irish Potato Famine of the 1840s resulted in nearly 1.7 million Irish immigrants between 1840 and 1860. Beginning in the 1840s, due to economic depression and the revolutions occurring throughout central Europe, the Germans began coming in large numbers. Over 4 million German immigrants arrived between 1840 and 1900. Other major immigration patterns caused by poverty, lack of farmland, overpopulation of their home countries or religious persecution included:

- 5 million eastern Europeans between 1880 and 1930.
- 4 million Italians between 1890 and 1930.
- 2.5 million Jews between 1880 and 1930.
- 1.5 million Scandinavians between 1880 and 1910.

More recent major immigrations include Hispanics from Mexico and the Caribbean seeking employment or freedom from repressive governments, and Southeast Asians following the Vietnam War.

Table 1 below is a breakdown of the total immigration and those from Germany or Eastern Europe by 10 year periods as collected from the passenger lists and immigration documents between 1820 and 1990.

Given this background showing the significance of immigration in the creation of the American population

| | | From Germany/ |
|-----------|--------------------------|----------------|
| | Total Immigration | Eastern Europe |
| 1820-1830 | 151,824 | 7,839 |
| 1831-1840 | 599,125 | 153,100 |
| 1841-1850 | 1,713,251 | 435,282 |
| 1851-1860 | 2,598,214 | 953,288 |
| 1861-1870 | 2,314,824 | 807,415 |
| 1871-1880 | 2,812,191 | 916,385 |
| 1881-1890 | 5,246,613 | 2,431,844 |
| 1891-1900 | 3,687,564 | 2,764,988 |
| 1901-1910 | 8,795,386 | 5,613,798 |
| 1911-1920 | 5,735,811 | 2,881,268 |
| 1921-1930 | 4,107,209 | 1,047,678 |
| 1931-1940 | 528,431 | 179,401 |
| 1941-1950 | 1,035,039 | 302,377 |
| 1951-1960 | 2,515,479 | 676,089 |
| 1961-1970 | 3,321,677 | 325,029 |
| 1971-1980 | 4,493,314 | 231,621 |
| 1981-1990 | 7,338,062 | 339,506 |

base, one of the major stumbling blocks in the gathering of the facts about our ancestors remains the ability to get beyond the borders of this country. Knowing where the immigrant ancestor originated and how he or she arrived in this land is a major hurdle for many family historians. In attempt to fill this void, immigration records are one of the most desirable record types sought in hopes of answers to these questions. The desired goal is to find a record in the ancestral home such asin figure 1.

In addition to providing the birth, death and marriage information for the parents and naming the grandparents, this record confirms the birth dates of children (4) Marie Anna, (5) Appollonia, (7) Mattheus, and (10) Verena Walser and includes annotations that they all emigrated to "Amerika"

The intent of this article is to discuss the various records pertaining to immigration to the United States, some of the background and history surrounding them, what they may contain, some suggestions on how to locate them and finally some alternative sources of information to consider if the records can't be found or fail to provide the desired information.

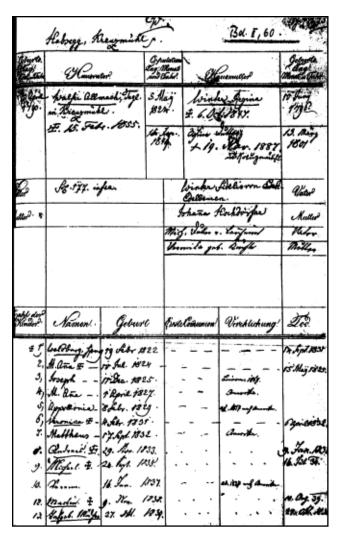


Fig. 1 - Page from the Family Register of St. Verena's Kath. Pfarramt in Rot a/d Rot, Germany

Historical Background

There are two basic types of records created in the immigration process; the arrival records, and for those who pursued citizenship, the naturalization records. An understanding of these records and what information they provide requires an understanding of the laws that established them.

Regarding the availability of these records, the researcher needs to be aware that not all immigrants pursued citizenship although that was the means of obtaining voting rights, holding public office and also sometimes the having the right to own real property. There were also some other ways in which groups of people obtained citizenship without leaving either arrival or naturalization records; those who became citizens by purchase, treaty or legislation. The acquisition of the Louisiana Territory in 1803, Florida in 1819 and Alaska in 1867 granted U.S. citizenship to the inhabitants of those territories. Citizenship was granted by Acts of Congress to the residents of Texas in 1845, Hawaii in 1900, Puerto Rico in 1917 and the Virgin Islands in 1927. By the treaty of Guadalupe-Hidalgo in 1848, U.S.

citizenship was granted to the residents of California and New Mexico territory. Other groups gained citizenship by law or by Amendments to the U.S. Constitution. Former slaves obtained citizenship by the 14th Amendment in 1868. One of the last groups to obtain full citizenship were the indigenous peoples; the Native Americans living on tribal land in 1924. For all of the peoples who received citizenship via acquisitions, treaty, Act of Congress or law, there would be no citizenship documentation in the form of naturalization records. The only documentation may be those documents created to validate title to ownership of private lands transferred under these conditions.

Part I - Arrival Records

Baggage Lists

The first legislative act to result in the creation of any form of lists identifying passengers to America was an act of 1799 - "An Act to Regulate the Collection of Duties on Imports and Tonnage". A clause in this act provided for an exemption of passengers on cargo ships from having to pay duty for their personal belongings and the tools of their trade. Ship captains were to prepare passenger manifests naming passengers and describing their baggage. Only the port of Philadelphia is known to have complied with this act thus there is a form of passenger lists for at least some of those persons who came into that port on cargo ships between 1800 and 1819. The lists have been transcribed and are available in "Passenger Arrivals at the Port of Philadelphia 1800-1819", Michael Trepper, ed. (Baltimore: Genealogical Pub. Co., 1986).

Passenger Lists

The first type of generally available record in the immigration process is the passenger list or manifest. The information required on these lists has varied over time in response to legislation. The purpose of the legislation was to provide some controls over immigration into this country, primarily for the purpose of protecting the immigrants. Poor conditions onboard ships due to overcrowding had resulted in high numbers of deaths during the crossing. The first piece of legislation which specified information to be collected in the form of passenger lists was the "Steerage Act of 1819". One of its provisions was limiting the number of passengers that could be transported to two for every five tons of the ships' weight. It was this legislation that established the requirement for reporting immigration to the United States by requiring that passenger lists for all arriving vessels be delivered to the local Collector of Customs with copies to be transmitted to the Secretary of State. The As a result, the Customs Passenger Lists for most ports begin about 1820. The information collected as a result of this Act included:

- Name
- Age
- Sex
- Occupation or calling

- Country to which the passenger owed allegiance
- Country of his/her intention to become an inhabitant
- There were various immigration acts enacted in 1847, 1848 and 1855 which were designed to further affect the overcrowded conditions that most immigrants faced onboard ships. These Acts did not make any significant changes to the reporting criteria of the passenger lists. The
- Sex
- · Occupation or calling
- Country to which passenger is a citizen
- Intended destination
- Location of passage onboard the ship (1st class cabin, 2nd class cabin, steerage, etc.)
- Number of pieces of baggage

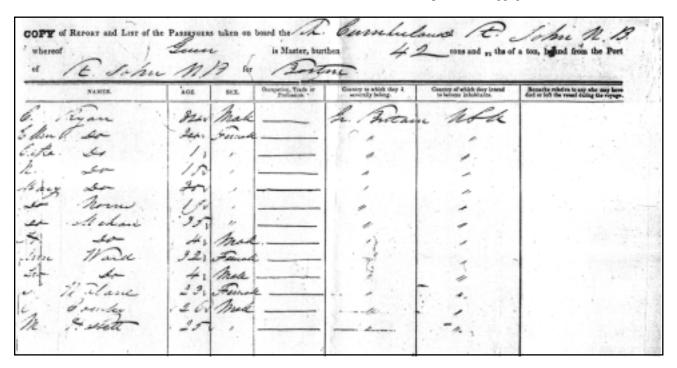


Fig. 2 - Passenger list of the ship "Cumberland" arriving in Boston from New Brunswick on 27 Jan 1841. The entries include the name of the passenger, age, sex, occupation, country to which they severally belong, county that they intend to become inhabitants, and a remarks section for deaths on the voyage or persons leaving the vessel during the voyage.

first legislation changing the passenger list information collection and reporting requirements that had been established by the Steerage Act of 1819 was the Immigration Act of 1882. A major intent of this Act was to improve the conditions of passage by specifying the space allocated to each passenger, separation of the sexes, ventilation, food preparation and hospital facilities. This Act required that the lists include the location of passage onboard the ship, the number of pieces of baggage, the intended destination for each passenger, and their native country. The Steerage Act of 1819 had required the listing of the country to which the passenger owed allegiance. This may or may not have been not be the same as the native country thus the Immigration Act of 1882 provides additional information for genealogists although as can be seen in the example below, not all passenger lists compiled completely with the reporting requirements. Figure 3 is an example of a passenger list created to conform to the reporting requirements of 1882. The information collected includes:

- Name
- Age in years and months

The *Immigration Act of 1882* was also the first piece of immigration legislation designed to control immigration. Prior to this act, unrestricted immigration was encouraged. However, the areas around ports of destination were beginning to feeling economic pressure as more and more indigent immigrants were unable to provide for themselves and became a burden on the local governments for their support. The *Act of 1882* provided for the exclusion of lunatics, idiots, persons likely to become public charges and foreign convicts (except those convicted of political offenses). A fifty-cent head tax was also allowed for customs inspectors to defray their costs in processing immigrants. The passenger lists themselves did not, at this time, include information to be used for exclusionary purposes.

In 1891, Congress again passed legislation to further restrict immigration. The classes of persons designated as inadmissible were expanded to include persons suffering from certain contagious diseases, felons, polygamists, and aliens whose payment of passage was assisted by others. Use of advertisements to encourage immigration were also disallowed by he *Immigration Act of 1891*. This Act did not

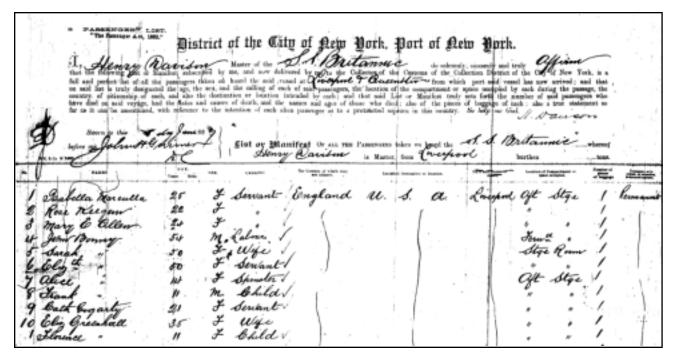


Fig. 3 - Passenger list of the ship "S.S. Britannic" from Liverpool & Queenstown to New York, arriving on 8 June 1889 Note that this list does not include a column for the "Native Country" of the passenger.

make any changes to the information collected on the passenger lists but initiated expanded discussion in Congress leading to even more restrictions and more information collection. The intent of this legislation was not only to further curb immigration by persons likely to become dependent on local jurisdictions for their support, but also to curb the influx of low-wage labor that was being encouraged by businesses willing to pay for the passage in return for contracted work agreements.

The next major piece of legislation affecting the information on passenger lists was the *Immigration Act of 1893*. The major additional restriction to admissibility included in this Act was a literacy requirement. From the standpoint of passenger lists, this Act added several new requirements to the collection of information on passenger lists; namely marital status, ability to read and write, amount of money the passenger was in possession of, and questions regarding physical and mental health.

In 1903, Congress passed another Act, the *Immigration Act of 1903* that added to the questions on the passenger list, "race or people". The Act added some additional criteria regarding health and past activities to further screen undesirable immigrants. The *Naturalization Act of 1906* added a requirement to include physical characteristics (height, color of hair and eyes, distinguishing marks) and the place, not just country of birth. Finally, in 1907 the inclusion of a field for the name and address of the nearest relative in the country from which the alien came was added to the lists. The format of passenger lists at this time included the following fields:

- 1 No. on list
- 2 Name in full (family name, given name)

- 3 Age (years, months)
- 4 Sex
- 5 Married or Single
- 6 Calling or Occupation
- 7 Able to Read, Write
- 8 Nationality (Country of which a citizen or subject)
- 9 Race or People
- 10 Last Permanent Residence (country and town)
- 11 The name and complete address of nearest relative or friend in country from whence alien came
- 12 Final Destination (State and City or Town)
- 13 No. on list (2nd half of page)
- 14 Whether having a ticket to such final destination (yes or no)
- 15 By whom was passage paid?
- 16 Whether in possession of \$50 and if less, how much?
- 17 Whether ever before in the United States and if so, when and where (year or period of years, where)
- 18 Whether going to join a relative or friend and if so, what relative or friend, and his name and complete address
- 19 Ever in prison, almshouse, or insane asylum, or supported by charity, and if so, which
- 20 Whether a Polygamist
- 21 Whether an Anarchist
- 22 Whether coming by reason of any offer, solicitation, or agreement, express or implied to perform labor in the United States
- 23 Condition of Health, Mental and Physical
- 24 Deformed or Crippled, nature, length of time and cause
- 25 Height (feet and inches)
- 26 Complexion

- 27 Color of Hair and Eyes
- 28 Marks of Identification
- 29 Place of Birth (County and City or Town)

Figure 4 is an example of a post 1907 passenger list including all of these fields.

It should also be noted that not all ports complied with the current regulations and therefore used outdated passenger list forms. Figure 5 is a passenger list from San Francisco in 1903 that only includes information required by the *Immigration Act of 1882*.

In 1924 a major change in the content of passenger lists occurred as the Immigration and Naturalization Service began the requirement of immigrant visas as the official

1938 that provides the following information:

- Name
- Age in years and months
- Sex
- Marital status
- Occupation or calling
- · Able to read and write and what language
- Nationality
- Race or people
- Place of birth (country and city/town)
- Type of entry document (immigrant visa, passport, reentry permit), date and place issued
- Last permanent residence (country and city/town)

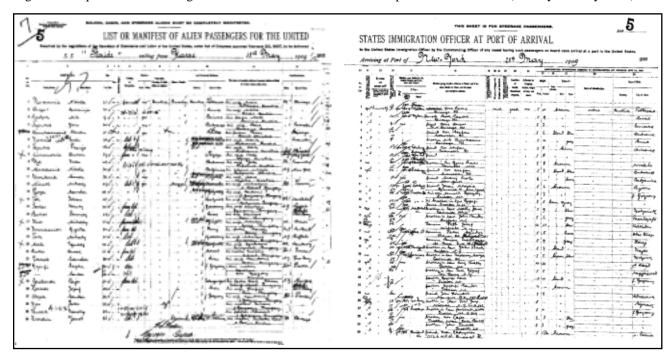
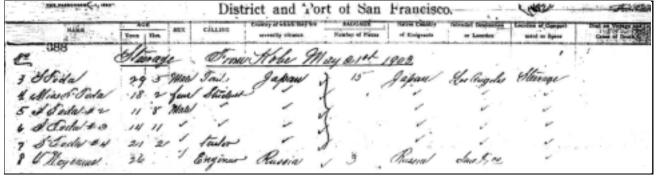


Fig. 4 - Passenger list of the S.S. Floride from Le Harve to New York arriving on 15 May 1909

arrival record. Passenger lists no longer were used to create Certificates of Arrival as the proof of legal entry into the United States. As a result, the information collected on passenger lists was no longer as extensive as had been previously required. Figure 6 shows a passenger list from

From 1924 to 1944 Visa applications provided all of the information required by immigration laws. Figure 7A and B on the following page is a Quota Immigration Visa for Basia Chtourman (Ternoff Schturman). In her application, she states that she is 76 years of age having been born in

Fig. 5 - Passenger list of the ship "Korea" from Hong Kong, China and Japan, to San Francisco.



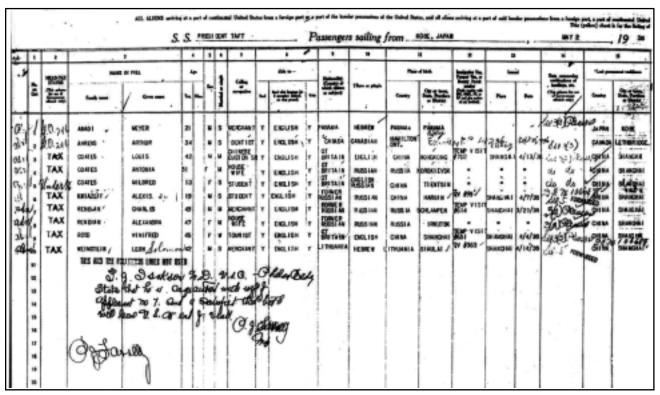


Fig. 6 - Passenger list of the ship "S.S. President Taft" from Kobe Japan, arriving at San Francisco 2 May 1938. In this example, Alexis Kwiazeff, a Russian born in Harbin, China notes his Quota Visa (QV) was obtained in the U.S. Consulate Office in Shanghai

Ustingrad, Russian in 1850. Her last residence was Niga, Latvia. She speaks Yiddish and is seeking the visa for the purpose of permanently joining her son who is an American citizen living in Buffalo, New York. The application includes several questions regarding physical characteristics, health and past activities of the same type previously asked in the passenger lists.

Locating Arrival Records

The previous sections describe the content of arrival records to illustrate what may be available to the researcher. Locating these lists may be a challenge, however, since many are not indexed. Finding the passenger arrival records involves first determining which ports may have been used by the immigrant and checking for availability of records and indexes to the records of those ports. Michael Tepper's "American Passenger Arrival Records" (Baltimore: Genealogical Publishing Co., 1993) identifies seventy-six (76) ports on the East Coast, West Coast, Gulf Coast or the Great Lakes region for which some passenger lists exist. The volume also provides an indication of the years for which lists are available. Of the seventy-six ports, the principal ports of entry into the United States during the nineteenth century were Baltimore, Boston, New Orleans, New York, and Philadelphia.

Also needed is a time frame for checking the lists. For the period 1607-1800 covering colonial and early American period immigration, one of the major works to be consulted

is P. William Filby and Mary K. Meyers' monumental work of the "Passenger and Immigration Lists Index" (3 vol. In 1981 with annual supplements thereafter). This continuing work is attempting to index all names in published arrival records. For immigrants arriving in the nineteenth and early twentieth century prior to 1920, census records can help determine the year of arrival. However, use caution with census records and remember that census records may misstate this information based on incomplete knowledge of the informant. The birthplace is provided in all federal census records from 1850 through 1920. Many states created state census records as well. Ann S. Lainhart's "State Census Records" (Baltimore: Genealogical Publishing Co., 1992) should be consulted for a detailed description of these censuses and their content. The 1870 census is the first census to indicate that the individuals' parents were of foreign birth. The 1880 census expands the information on the parents by providing the state or country of their birth. The 1900, 1910 and 1920 censuses also provide the year of immigration if the person is of foreign birth.

With an approximate time frame and likely ports of entry, the next step would be a determination of the availability of indexes to the passenger lists for those ports during the estimated time period. As previously stated, the principal ports of entry into the United States in the nineteenth century were Baltimore, Boston, New Orleans, New York, and Philadelphia. The availability of records for these ports varies. The records for Baltimore are not

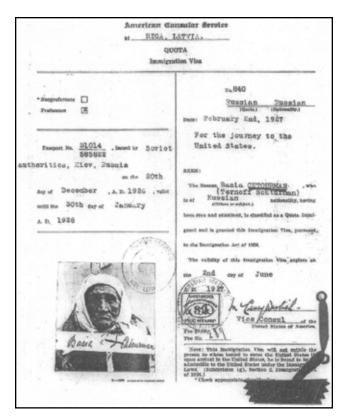


Fig. 7A - Quota Immigrant Visa for Basia Chtourman (image obtained from the Immigration & Naturalization Service Website)

complete. Many were destroyed by fire. State Department transcripts and other sources have been used to reconstruct some of the lost records. Incomplete card indexes to them cover the period 1820-1897. Likewise the passenger lists for Boston were destroyed in a fire in 1883 but copies and those records submitted to the State Department have been used to reconstruct most of the records with the notable exception of a ten-year gap from 1874 to 1883. The Boston lists have been indexed 1820-1874. New Orleans records have been incompletely indexed for 1820-1903 in three different indexes available at the National Archives. New York received the largest number of immigrant passengers of all of the ports and the lists are complete from 1820 to 1897. The lists from 1820-1846 have been indexed under the WPA. More recently, the Ellis Island Website [http:// www.ellisisland.org] has provided an on-line index to the immigrants who passed through that facility between 1892 and 1924. The records of Philadelphia are the best indexed. The "Index to Passenger Lists of Vessels Arriving at Philadelphia 1800-1906" contains records from both the Philadelphia baggage lists (1800-1819) and the passenger lists (1820-1906).

A thorough discussion of the extent and type of record holdings for each of these ports as well as the other minor ports is presented in Michael Tepper's "American Passenger Arrival Records" (Baltimore: Genealogical Publishing Co., 1993). For those that are indexed, the indexes are typically card files containing all of the information provided on the



Fig. 7B - Quota Immigrant Visa for Basia Chtourman (image obtained from the Immigration & Naturalization Service Website)

actual list. Since these cards are a transcription, the original record should be reviewed for any differences. The Ellis Island Website is also indexed by extraction. The original manifests are also available for viewing on the Website and can be used to compare the extracted information with that listed in the original source record. Some errors have been found in the spelling of towns of origin so be sure and check the original. Figure 8 below is an example of an index card to the port of San Francisco's passenger lists (1893-1938).

If an index does not exist and the desired record has not been published, the only recourse is to conduct a ship-by-ship search of the lists keep at each port in the time period of interest unless other sources such as the Hamburg Emigration Lists may help. Many of the ships arriving at a port can be quickly eliminated from consideration by checking the port of departure and ethnicity of the passengers.

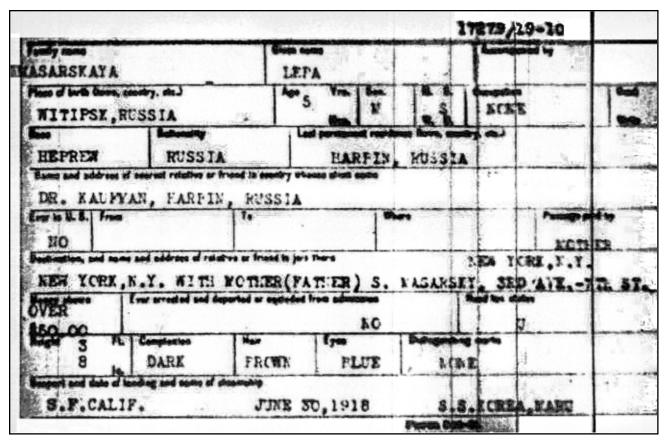


Fig. 8 - San Francisco Passenger List Index Card for Lepa Masarskaya, a 5 year old girl from Harpin, Russia whose destination is New York City to join her parents. She arrived on the ship S.S. Korea Maru on 30 Jun 1918.

Another consideration in researching passenger lists is the use of Canadian ports by immigrants to the United States. As word spread in Europe about the restrictive controls on immigration, especially after the *Immigration Act of 1891*, many immigrants chose to take passage to a Canadian port and then take rail passage to the United States. Until 1895, there were no controls on border crossings from Canada. By that year, it was estimated that forty percent of passengers arriving at Canadian ports (Quebec, Montreal, St. Johns and Halifax) were actually destined to the United States. In 1894 the United States and Canada formalized an agreement to allow inspectors at Canadian ports to inspect U.S. bound immigrants. The lists created by these inspections were kept from 1895 through 1954 and are known as the St. Albans (Vermont) Lists.

Part II -Naturalization Records

The next classes of records created as a result of immigration are those produced in the naturalization process. Following the American Revolution in 1776, each state was left to its own in establishing rules for citizenship. For the most part, those who supported the cause and chose American citizenship following the Revolution were considered citizens of the new country. Those who chose to remain British citizens were forced to move as enemy aliens.

During the Revolution, there was a concerted effort to encourage the foreign troops in British service to defect by giving land and citizenship to those who did regardless of their nationality or religion. This effort provided U.S. citizenship to many of the Hessian troops that had been in the British army. During the Revolution and until 1783 when the Treaty of Paris was signed, there wasn't any new immigration because of the British blockade of the colonies.

The first U.S. naturalization act was established in 1790 to establish uniformity of the conditions for citizenship. Since then, the Federal government has controlled the naturalization process while allowing the States to administer it. The Naturalization Act of 1790 allowed any free white person over the age of 21, who met the requirements, to apply for citizenship. The requirements included two years of residence in the United States, one year in the state of current residence, a finding by the court that the applicant was of good moral character, and the taking of an oath of allegiance. Any common law court of record could process the naturalization thus any Federal court or any local court given jurisdiction by the state could and did perform naturalizations. As a result, records may be found in Federal, State, city or county courts. Wives and children under the age of 21 obtained citizenship via the husband's naturalization. Figure 9 is an example of a record granting citizenship under the conditions in the Act of 1790.

This record was created in 1796, after the next naturalization act that, among other things, changed the residency requirements to five years. The example demonstrates the fact that many courts did not keep up with current laws and continued to use older requirements. The petition was filed in the Philadelphia Court of Common Pleas. The only information in this document that relates to the immigrant's origin is the indication that he was a native of "Mayence in Germany" and that he was renouncing his allegiance to the Elector of Mayence. Note that although this petition is dated 1796 and the pre-printed form indicates that he was a resident "on the twenty-ninth day of January, 1795", the residency requirements stated on the form refer to the Act of 1790, not the Act of 1795.

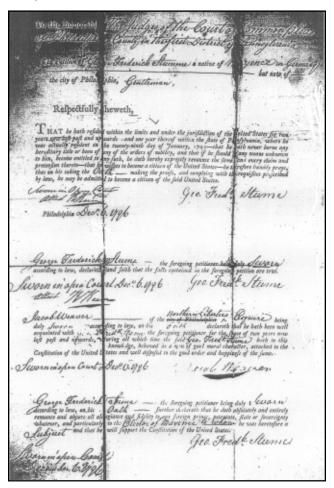


Fig. 9 - Petition for Naturalization of George Frederick Stumme, 6 Dec 1796

As a result of the fear that the fast growing number of political refugees, especially those with hereditary titles escaping the French Revolution, might threaten the newly won concepts of liberty, Congress tightened the processes of naturalization. In 1795 the *Naturalization Act of 1790* was repealed and replaced with another Act that established the two-step process that has remained in place since. The major features of the *Naturalization Act of 1795* was the requirement to have been a resident of the United States for

at least five years and to have filed a "Declaration of Intent" to become a citizen at least three years before filing the "Petition for Citizenship". These two documents are also known as the "first" and "second" or "final" papers. The residency requirement was also changed to two years of residency in the state in which the application was made. In addition to the oath of allegiance, it was required that the applicant renounce allegiance to any other foreign power and also to renounce all claims to any hereditary title or order of nobility. Wives and children continued to obtain citizenship from the husband's naturalization but single women over the age of 21 could apply separately for citizenship.

By 1798 the United States was not only on unfriendly terms with Great Britain but also with France. The Federalist Party, led by the second President John Adams, was at philosophical odds with the Democratic-Republican Party led by Thomas Jefferson. Jefferson and the Democratic-Republicans were sympathetic to the concept of the "rights of man" which arose out of the French Revolution. The Federalists were more inclined to a strong federal government and weaker states. At the same time Irish who were immigrating in large numbers tended to support Thomas Jefferson's Democratic-Republican Party rather than the Federalist Party. In response to these factors Congress, controlled by the Federalists, passed the Alien and Sedition Acts of 1798. One of these acts, the Naturalization Act of 1798, made major changes to the residency requirements that targeted the new immigrants. The major changes were the requirement for five years residency before filing of the first papers and fourteen years residency before filing the final papers. The Act also prohibited naturalization of immigrants from countries at war with the United States.

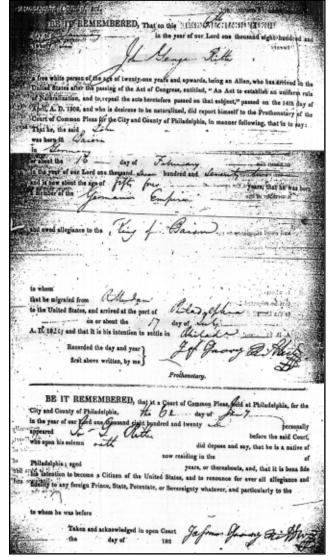
In 1802, following Thomas Jefferson's election to the presidency, the *Naturalization Act of 1798* was repealed and a new naturalization act was made returning the residency requirements to those established in 1795. Until the creation of the Bureau of Immigration and Naturalization under the *Naturalization Act of 1906*, there were only minor changes to the requirements as set forth by the *Naturalization Act of 1802*. Some of the minor changes during this period were:

- 1804 if a man died after he filed his Declaration of Intent but before he filed his final petition, his wife and minor children were considered as citizens if they came before a court and swore an oath of allegiance and renunciation.
- 1824 the residency requirement from filing the Declaration of Intent was changed to two years instead of three and minor aliens who had lived in the U.S. for five years prior to their 23rd birthday could file both their Declaration of Intent and their final Petition simultaneously.
- 1855 a woman who was or became married to an U.S. citizen was considered an U.S. citizen. This rule applied to her minor children and to women who continued to reside in their country of origin and came to the U.S. after their husband's naturalization or who married an U.S. citizen

abroad. They entered the U.S. as citizens rather than immigrants. As an aside, the nationality of women who were native U.S. citizens, but married an alien, was a gray area in the law. Women often gained citizenship in another country upon marriage to an alien. The question in the courts was whether or not they lost their U.S. citizenship as well. It wasn't until 1866 that the courts decided that a woman did not lose her U.S. citizenship unless she also left the United States.

- 1862 Army veterans of any war could petition for naturalization without a prior Declaration of Intent after only one year of residency.
- 1872 alien seamen serving on U.S. merchant ships for more than three years could become citizens without the residency requirement.

Fig. 12 - The Declaration of Intent for Johann George Ritter,6 Jan 1826, Philadelphia Court of Common Pleas. This declaration provides the petitioner's birth date and country of birth [16 Feb 1772 in Baiern (Bavaria)] and also that he emigrated from Rotterdam and arrived at the port of Philadelphia on 17 July 1824



• 1894 - the provisions of the 1862 Act for Army veterans was applied to Navy and Marine Corps veterans as well.

During this period, federal courts as well as state and local courts continued to administer the naturalization process within the broad guidelines of the various Naturalization Acts. Each court established the format and content of the papers to be filed in the process. As a result, there is a wide variation in content and in the genealogical value of the documentation produced. Many courts adopted forms, some just entered the petition into the court records by hand. Figures 12-19 show the wide variation of naturalization papers.

The preceding documents are typical examples of the types of records created between 1802 and 1906. The information contained in early Declarations of Intent had to

Fig. 13 - A handwritten Petition for Naturalization for William Gavers, 12 Sep 1850, Santa Clara County, California, District Court. This petition indicates that he was native of the town of Megen, Province of North Brabant, Kingdom of Holland and that he emigrated to the United States in 1837 at the age of 12. This petition met the requirements of the changes made in 1824 to allow simultaneous filing of the Declaration of Intent and the Petition for Naturalization if the petitioner arrived as a minor and resided in the United States for at least 5 years

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at least provide the alien's name, and the date and location of the declaration. Petitions for Naturalization required at least the alien's name, his country of birth, and the date and location of the petition. The format varied from county to county, state to state. Most documents do not provide much information regarding the date and place of birth.

In 1906, congress passed a new naturalization act that made major changes to the process of naturalization. The most significant change was the establishment of uniformity in the process. Up to this point the various courts of record

Fig. 15 - The Declaration of Intent for Frederick R. Glocker, 10 July 1868, Santa Clara County, California, District Court. This Declaration only indicates that Frederick was a subject of the Kingdom of Wuerttemberg

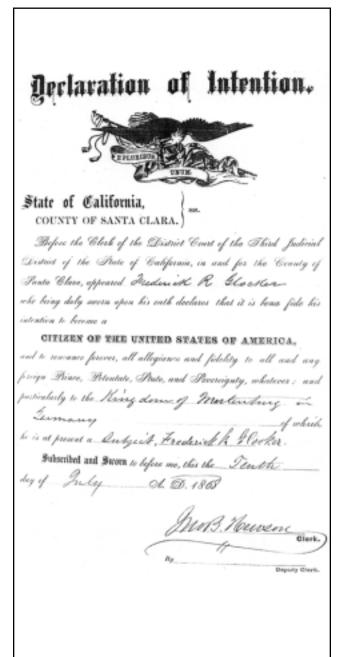


Fig. 14 - Declaration of Intent for Jacob Machetanz, 9 Oct 1854, Santa Clara County, California, District Court. This Petition indicates that he intends to become a citizen of the United States and renounce his allegiance to the Grand Duke of Saxe Weimar. No other information is provided

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Fig. 16 - The Petition for Naturalization for Joseph Winterhalter, 17 Aug 1869, Santa Clara County, California, District Court. This Petition only indicates that he was native of Baden

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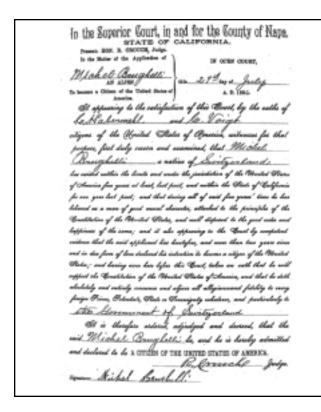


Fig. 19A - Petition for Naturalization for Mattheus Walser dated 17 May 1859 in the Clayton Co., Iowa District Court. He renounced his allegiance to the King of Wuerttemberg



Fig. 17 - The Petition for Naturalization for Michel Brughelli, 29 July 1886, Napa Clara County, California, Superior Court. This Petition indicates that Michel was native of Switzerland

Fig. 18 - The Declaration of Intent for Andreas Bruchmüller, age 38, his indication to become a citizen of the U.S. and renounce his allegiance to the Grand Duke of Mecklenburg on 26 Dec. 1859 in the Clayton Co., Iowa District Court. No further information is provided

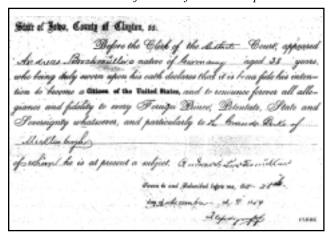
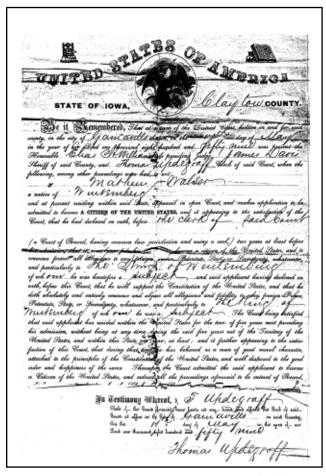


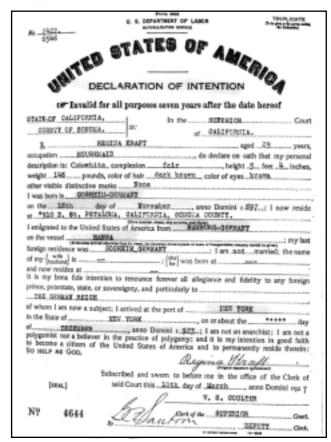
Fig. 19B - Certificate of Naturalization given to Mattheus Walser upon the granting of his citizenship. Printed on an 11" by 16" sheet and used as proof of his citizenship



having jurisdiction over naturalizations were allowed to define the process used, specifically in regard to the paperwork involved. The Naturalization Act of 1906, in addition to establishing the Bureau of Immigration and Naturalization, standardized the forms to be used. The only forms to be used were those obtained from the Bureau. The Bureau also controlled which courts could perform naturalizations. A new document was created; the Certificate of Arrival which was a verification that the person applying for citizenship did indeed arrive legally on the ship and date specified in the application. The Act of 1906 also required that copies of the naturalization paperwork be sent to the Bureau thus both the court and the Bureau should have the documents. The files sent to the Bureau, now the INS, are known as C-Files and contain at a minimum a duplicate copy o the Declaration of Intent, the Petition for Naturalization and the Certificate of Arrival. The format established in 1906 required the following information:

- name
- age

Fig. 20A - The Declaration of Intent for Regina Kraft, 10 Mar. 1927, Sonoma Co., California Superior Court. This document provides the date and place of birth - 18 Nov. 1897 in Gosheim, Germany. It indicates that she arrived on the S.S. Hansa from Hamburg to New York in December 1923. Declaration also provides a physical description



- occupation
- · personal description
- date and place of birth
- · current citizenship
- current address
- port of embarkation and entry
- name of the ship (or other means of conveyance)
- date of arrival in the U.S.
- if married, name, birth date and place of the spouse
- if children, names, birth dates, birth places and residences of each

In 1907 another Act made an important change affecting women. This Act determined that all women acquired their husband's nationality upon marriage. This change in particular impacted women who were U.S. citizens and then married aliens. The woman now lost her citizenship. This altered the court decision in 1866 that held

Fig. 20B - The Petition for Naturalization for Regina (Kraft) Paulsen, Sonoma Co., California Superior Court. After filing a Declaration of Intent in 1927, Regina Kraft married Willy J. A. Paulsen in 1928. In addition to the information provided in the Declaration of Intent, the Petition provides the date and place of her marriage and the date and place of Willy Paulsen's birth. If they had children, the dates and places of the children's birth would have been entered as well. The Petition also gives the date and court of record for where the Declaration of Intent had previously been filed

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that only if the woman left the U.S. did she lose her citizenship. The 1907 provisions regarding women's citizenship were repealed with the *Act of 1922* that required women to file separately for citizenship. Derivative citizenship based on the husband's naturalization ceased. The *Act of 1922* also eliminated the residency requirement for filing a Declaration of Intent.



Fig. 20C - The Certificate of Arrival supporting the citizenship application of Regina (Kraft) Paulsen. This document dated 29 Sep 1929 is a certification by the Bureau of Naturalization that they were able to locate the record of legal entry by Regina on the S.S. Hansa arriving in New York on 2 Dec 1923

The only other major pieces of legislation affecting naturalization criteria were the *Act of 1918* which allowed aliens who served in the U.S. forces during World War I to be naturalized without a residency requirement, and the *1924 Citizen Act* which gave U.S. citizenship to all non-citizen Indians born in the territorial limits of the U.S. The later Act primarily affected Indians living on tribal reservations.

In 1924 a new Immigration Act established the "consular control system" of immigration. This required that aliens requesting entrance to the U.S. first obtain an immigration visa issued by an American consular officer abroad. The use of immigration visas replaced the need to verify legal entrance thus Certificates of Arrival ceased to be used.

In 1929, photographs were added to the Declaration of Intent. Actually, the photographs were included on the copy of the Declaration that was placed in the file with the Petition for Naturalization. Separate files for the Declarations and the Petitions were kept. If court files containing the Declarations of Intent are checked, the photograph will not be found, however, if the files containing the Petitions are checked, a copy of the Declaration including the photograph is attached to the Petition. Photographs were also included on the Certificate of Citizenship given to the new citizen.

Finding Naturalization Records

Not all immigrants to this country became naturalized citizens. The National Archives estimates that 25% of the persons of foreign birth listed in federal census records from 1890 through 1930 had not even filed for their first papers. Many immigrants, distrusting any government, sought anonymity and remained in ethnic communities with others of similar background, never seeking citizenship. Others did not fully understand the process and only partially

Fig. 21 - The Declaration of Intent for Crisostomo Virga, 22 May 1939, Sacramento Co., California Superior Court. This record was found in the volume of Declarations. Note the instructions not to attach the photograph to this copy of the Declaration



completed the requirements for citizenship. The Homestead Act of 1862, enacted to encourage settlement of government lands, gave 160 acres of land to any citizen or "intended citizen". The only requirement was that the Declaration of Intent had been filed. Since many immigrants sought citizenship so they could own land, once that goal had been accomplished by the filing the first papers, the remainder of the process was not completed. In Wisconsin, the State Constitution gave the right to vote to persons who had only

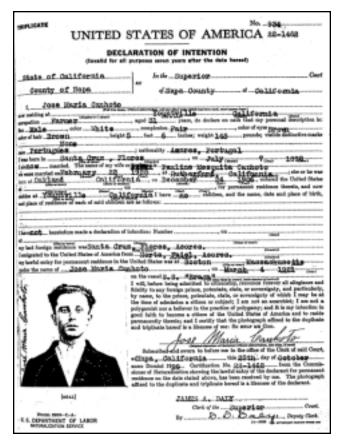


Fig. 22 - A copy of the Declaration of Intent for Jose Maria Canhoto from the volume of Petitions of the Napa Co., California Superior Court

filed their first papers thus there wasn't even an incentive to get the right to vote through naturalization. Lax voting laws often allowed English speaking immigrants to pass as native-born citizens and once on voting rolls, a record of "citizenship" was established. For those immigrants who did complete the process or just completed the first

Fig. 23 - The Certificate of Citizenship given to Michael Gill upon his naturalization in the District Court of Chicago, Illinois, 3 Oct 1933



papers, the key to locating documents is knowing when and where they were likely created. Remember the eligibility requirements for filing the first and second papers to estimate when documents might have been created. Once the when is known, the next step is to determine where they might be. For naturalizations prior to 1906, remember that many courts had jurisdiction for processing citizenship. Check the Family History Library Catalog under both state and county level entries for "Naturalization and Citizenship." Also remember, that the location where the first papers were filed may not be the same as where the final papers were filed. There are various sources that can provide clues for where to look.

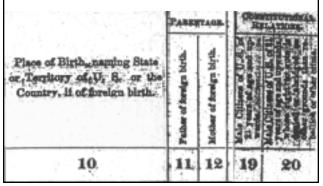
Census Records

One of the uses of census records is in locating the place of residence of the ancestor being researched. They provide an indication every tenth year of any movement by the person or family of interest. The first federal census to provide an indication that a naturalization has taken place is the 1870 census. This census contains two fields that would indicate this status; the column for birthplace and a field to be checked indicating "male citizen eligible to vote".

The 1880 census did not contain any information to indicate citizenship status, only the place of birth for the individual and his/her parents. The 1900 through 1920 census provided a citizenship status field. For persons of foreign birth, the code in that field designated whether on not they were aliens "AL", naturalized "NA", or the first papers had been applied for "PA". These three censuses also list the year of immigration. The 1920 census goes a step further and lists the year of naturalization.

State census records may also provide desired information. The California Census of 1852 contains the state or country of birth and fields for the number of U.S. citizens over 21 and number of foreign residents. It also contains a field for the previous residence prior to arriving in California, thus an entry may show that the person was born in Germany but lived in Michigan prior to arriving in California. The 1855, 1865 and 1875 state census of New

Fig. 24 - The fields of interest in the 1870 census showing 10) place of birth, 11) father of foreign birth, 12) mother of foreign birth, 19) male citizen of U.S. over 21, 20) male citizen of U.S. over 21 whose right to vote is denied



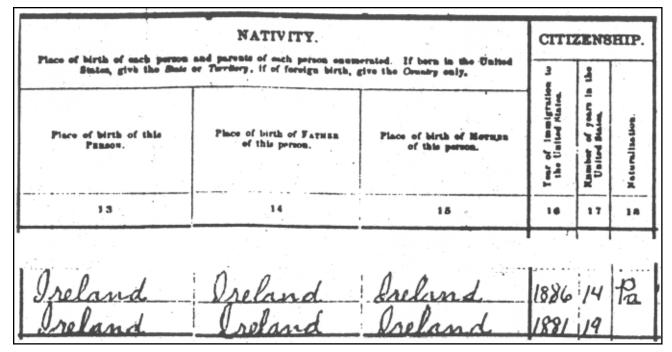


Fig. 25 - The fields of interest in the 1900 census showing 13) place of birth, 14) place of birth of father, 15) place of birth of mother, 16) Year of Immigration to the U.S., 17) Number of Years in the U.S., 18) Naturalization. In this example the first person immigrated in 1886 and had completed his Declaration of Intent. The second person (his wife) immigrated in 1881. There is no naturalization status for her since women did not normally apply for citizenship. They derived it with their husband's naturalization

York contains fields for "Native Voters", "Naturalized Voters", and "Aliens". A good reference for state census records and their content is Ann Lainhart's "State Census Records" (Baltimore: Genealogical Publishing Co., 1992). **Voter Registers**

Some state created voter registers that contain more information than normally expected in voter rolls. California, between 1867 and 1898, produced Great Registers of Voters that provide the voters age, birth place, occupation, residence, and if naturalized - the date, place and court of record where the naturalization was made. Arizona has similar lists.

Published Extractions and Indexes

There are many published extractions, transcriptions and indexes to naturalization records. Some examples are P.

William Filby's "Philadelphia Naturalization Records, An Index to Records of Aliens' Declarations of Intention and/or Oaths of Allegiance 1789-1880" (Detroit: Gale Research, 1982), or Kenneth Scotts "Early Naturalization Records from Federal, State, and Local Courts, 1792-1840" (Baltimore: Genealogical Publishing Co., 1981). Check library card indexes and sources such as the "Genealogical Periodical Annual Index" under localities of interest. The State of North Dakota has published an index to naturalizations in that state of the Internet.

Published Guides to Court Records

There are several sources for determining the holdings of various courts. The Family History Library Card Catalog is an excellent resource. Other sources are Christina K. Schaefer's "Guide to Naturalization Records of the United

Fig. 26 - A page from the 1872 Great Register of Voters for San Francisco, California. The third entry on this page is for Daniel Carlin, age 39, who was born in Ireland, a porter living at 541 Mission St. who was naturalized in the U.S. Circuit Court of Boston, Mass. on 7 Nov 1854

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States" (Baltimore: Genealogical Publishing Co., 1997), "The Archives: A Guide to the National Archives Field Branches" by Loretto Dennis Szucs & Sanda Hargreaves Luebking (Salt Lake City, Ancestry Publishing Co.), and "They Became Americans - Finding Naturalization Records and Ethnic Origins" by Loretto Dennis Szucs (Salt Lake City: Ancestry Incorporated, 1998).

Post 1906 Records

The Naturalization Act of 1906 required the courts to send a copy of papers filed in the naturalization process to the Bureau of Immigration and Naturalization. If the original records can't be located in the courts of record, a request via the Freedom of Information Act (FOIA) can be submitted using Form G-639. The FIOA process is slow and should be used only when the local record cannot be found. The recommended procedure for making a FOIA request is to obtain the naturalization date from the 1920 census, provide proof that the requester is a direct descendant of the immigrant using birth, death or marriage certificates, fill out G-639 with as much information as is available and mail the form to the following address. Do not send money with the request, they will bill for copies made.

INS, FOIA Request 425 I Street NW, Rm. 1100 Washington, DC 20536

World War I Military Naturalizations

During World War I, aliens serving in the armed services of the United States were allowed to become U.S. citizens without residency requirements. The application and naturalization could take place on the same day and was usually done at a U.S. military camp overseas. The INS prepared an "Index to Naturalizations of World War I Soldiers, 1918." If there is a possibility that a person was naturalized under this provision, that index should be checked. If the name doesn't appear, the soldier may have been naturalized under the normal process so the courts or FOIA method should be used.

So You Can't Find a Record or the Record Doesn't Provide Needed Information

As can be seen from the preceding discussion, naturalization papers may not exist, or if they do, they may not provide that key piece of information; the immigrant's place of origin. There are several other sources that should be considered in the genealogical pursuit of the ancestral home. Naturalization papers are only one source for this type of information. Be sure to check for any records for the immigrant ancestor as well as any known siblings or other relatives. The key may be in the record of a related person, not the direct ancestor:

• Bible Records. Most often, the "Family Register" pages in Bibles only contain dates not places, however, occasionally places are also listed.



Fig. 27 - FOIA Request Form G-639

- Newspaper obituaries and death notices. In most cases, only the country of birth is provided in newspaper accounts, however, this isn't always the case. Small town newspapers and ethnic newspapers often provide the most information.
- Letters. Old letters may provide clues to follow up on. They may be from close relatives and lead to other research.
- Photographs. Old photographs may contain the name and address of the photographer and can lead to other locations to research.
- Social Security Applications. The SS-5 (Social Security application form) contains fields to record the birthplace and birth date. These can be obtained under the Freedom Of Information Act.
- Published biographies in county histories or other books. During the 1880s a business was built around the publication of county histories wherein "subscribers" could, for a fee, have their family included in the biographical section of the history. The biographies might contain ancestral information and lead to the immigrant origins. Other types of books were produced as well; many covering the leaders of ethnic groups.
- Military Records.

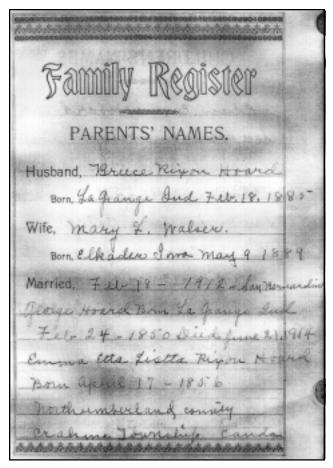


Fig. 28 - A page from the family Bible of the Bruce Rixon Hoard family. This record provides the birthplaces as well as dates. His mother, Emma Etta Listta Rixon was born in Crahme township, Northumberland County, (Ontario) Canada on 17 April 1856

The preceding list is only a partial list of records that can be used for determining ancestral origins. There are many others. Naturalization records are only one potential source of information. Locating the ancestral home is an exciting event to a family historian and also often a frustrating challenge and there are many stones to be turned. Good luck in your quest.

TUESDAY, APRIL 29, 1884. OBSTUART Again it becomes our saddety to chronicle the death of one of the early settlers of this county, that of Mrs. Maria A. Hagen, of Cox Creek township, which occurred on Friday, the Tith inst., of consumption, after a long sickness Mrs. Hagen was born at Kruechle Musschieth, Wustemberg. 1827, Oberant Lewikirsh, April 1st eing 37 years of age at the time of or death. She came to America in 1851, landing in New York on July 4th, Insu New York 'she personed '10 Cincinnali where she stayed wedil 1857, when she removed to Commuria, and thence to her late tichnic near Osbiene Station. She War one sixter, Mrs. Strobel of Efficiency and three sore and four daughter's to mourn ber loss. Mrs. Strobel & no. the only remaining member of a fad ile of five. The romains were brought to Eller der on Sunday, and interred in the cemetery on the oast sidos services were had at the grave, Mr. W. Engelhardt officiating. Mrs. Hagan was universally sected by tides who knew hel, and ier death is mourned by all, family will have the sympathy of a lost of friends in their and bayyare-Emit 5. Tiede.

Fig. 29 - An obituary for Maria Hagen who died in Clayton Co., Iowa on 25 April 1884 from the "Clayton Co. Register" of 29 April 1884. Maria was one of three sisters of Mattheus Walser, the subject of the research. His naturalization papers were found (see fig. 19a) but only provided the country of origin - Wuerttemberg. This newspaper account was the only record found researching all of the known family members that provided the actual (but somewhat misspelled) birthplace - "Kruzmuehle Muenchroth, OberantLewtkiroh, Wuerttemberg". The information in this account led to the location of the church records in St. Verena's church in the town Rot a/d Rot. This church served the village of Kruezmuehle in OberantLeutkirch, Wuerttemberg (see fig. 1)

Fig. 30 - A death notice printed in the "San Francisco Call" of 10 Nov 1878 for the death of Minna Newman. Mrs. Newman was the mother of three children who immigrated to the U.S. She remained in Germany where she died at Meirichstadt, Bavaria. The children had this death notice published in her memory

NEWMAN-At Melrichstadt, Bavaria, Mrs. Minna Newman, mother of Simon and Juda Newman, and Mrs. Sol. Wangenheim, of San Francisco, a native of Germany, aged 62 years.



Fig. 31 - The SS-5 application for Marion Regina (Hurst) Towler showing that she was born 6 Dec 1893 in Tranmere, Cheshire, England

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_____, "Women and Naturalization, ca 1802-1940" Prologue: Quarterly of the National Archives, Summer 1998 (Vol. 30, No. 2).

Immigration and Naturalization Service Website (http://www.ins.usdoj.gov).



Christian Aleis.

Chriftian Rleis. -Berr Chriftian Rleis murbe am 11. Mai 1827 in Sigentird, Baben, geboren und bes fuchte bafelbit bie Schulen. Rach feiner Ronfirmation trat er in Lorrach in Baben als Raufmannslehrling in ein Gefcaft ein. Er war in biefem Befchafte feche Jahre, guerft als Lehrling, bann als Ge hilfe. 3m Jahre 1848 manberte er nach Amerita aus und tom im Berbft biefes 3ahres in Rem Orleans an, blieb ungefähr fechs Wochen bort und ging bann nach St. Louis, mo er in einem Store Beichaftigung fanb. 3m Johre 1852 trieb es ibn an, mehr bon Umerita gu feben, und fo gog

er zuerft nach Rem Port, bann nach Cavannah, Philadelphia und anberen großen Stäbten, wo er fürgere ober langere Beit berweilte. Er fehrte jeboch wieber nach St. Louis gurud, mo er in einer Cobamaf. fer-Fabrit Anftellung betam. 3m Jahre 1857 wurde Berr Rieis von feinen Brincipalen nach Galena gefchidt, um bort eine Gobamaffer-Tabrit eingurichten. In 1858 übernahm er biefe Fabrit auf eigene Rechnung. 3m Jahre 1862 heirathete herr Rleis feine noch lebenbe Frau, Caroline Foll, und in 1863 fiebelte er mit Familie und Gerathichaften ter Codamaffer-Fabrit nach Dubuque über und fing hier ein Beichaft an, an ber Sechften und Bhite Strafe. Das Befchaft vergrößerte fich immer mehr, und fo baute er im Jahre 1868 Die gegenwärtige Fabrit an ber Ede ber Reunten und Bhite Strafe. herr Rleis ift ein langjahriges Mitglied bes Turnvereins, ber Gougengilbe und verichiedener Logen und von Allen boch geachtet. Er bat eine große Familie, und wer in berfelben vertehrt hat, wird wiffen, wie angenehm Ginem bie Beit bort berfließt.

Fig. 32 - A biography of Christian Kleis who was born 11 May 1827 in Sitzenkirch, Baden. This biography was printed in "Die Deutschen von Iowa" by Joseph Eibock (Des Moines, IA: Iowa Staats-Anzeiger, 1900)